

Compliance Automation. Customized.™

Compliance is focused on making it simpler, less costly, and more effective for companies to implement and manage their financial and Governance, Risk, and Compliance (GRC) processes and controls.

Compliance specializes in custom tailored risk and compliance automation solutions that leverages a company's investment in existing processes and controls and build solutions that best fits a company's unique process requirements.

The result is an easy to use, highly flexible solution that makes it easier to automate unique existing processes and controls and easier to build customized processes to meet a company and industry's unique requirements.

Custom compliance automation made easy. (™)

Compliance's solution has the flexibility to start at any point automating GRC (Governance, Risk Management, Compliance) processes such as Sarbanes-Oxley, FCPA, OMB A-123 and any financial process such as Financial Close requirements; all with built-in controls to ensure results.

Compliance's solution is accessible via any Web based network and provides complete control management capabilities including the documentation, automation and management of all GRC related processes, rules based routing, segregation of duties, security authorization, automated testing/auditing, certifications, document management, evidence tracking, issues identification and management and reporting. Compliance's solution can also utilize third party document management systems and access data in other database-based applications for rules-based decision making.

Compliance's solution is a proven product with high customer satisfaction that is being used by a variety of mid-market to larger companies and government agencies.

Unique Capabilities That Make a Difference

The Compliance solution is a forms and template driven solution with the following differentiating capabilities:

- Built-in compliance controls for any process requirement to ensure business results.
- Roles driven interfaces for easier utilization, implementation and management - forms driven, real-time dashboard, consolidated control management, one click testing/audit.
- Individualized Task Routing™ to integrate control tasks directly into business units for a distributed and accountable management model that is easier to use, faster to complete and reduces support/training costs.
- Continuous Control Monitoring (CCM) and Management for more effective real-time issue identification and remediation.
- Business Process Management (BPM)-based for a future proof investment with the flexibility to automate existing GRC and internal operations and then extend and augment as needed and add new risk and compliance requirements as a single integrated management system.

Fast, Lower Startup Costs with More Cost Effective Sustained Benefits

Companies have gotten up and running within as little as one week significantly reducing startup costs. Companies can realize more effective business operations, risk and compliance management with 50-75% cost reductions versus traditional spreadsheet and word processing solutions and 20-35% greater cost reductions versus competitive automation products. In addition, companies can utilize lower cost non-technical resources since no programming is required and integrate control tasks directly into distributed business units reducing training and support costs.

Experienced, Proven Management

The Compliance management team brings extensive in the software technology industry with a unique combination of experience in regulatory compliance implementations, business process workflow automation, BPM, and non-technical, easier-to-use interface development for business process solutions. The team members have been involved in hundreds of engagements always resulting in high customer satisfaction. Compliance is located in Durham, NC.

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