

## FCPA Compliance Automation. Customized. Standardized. Enforced.

### Customized.

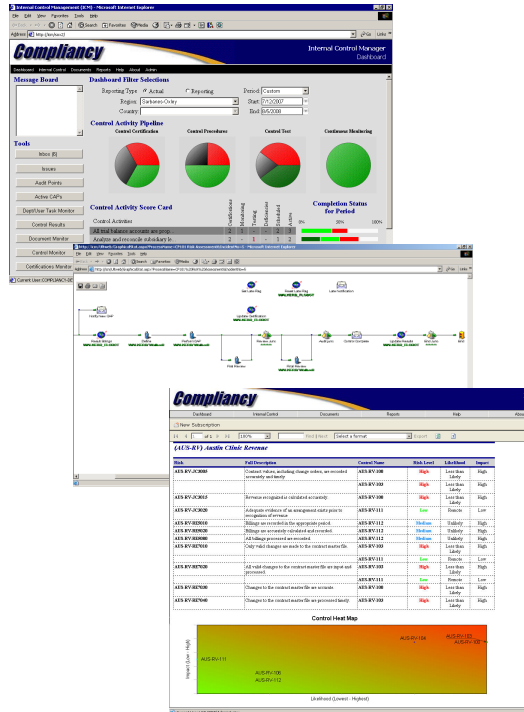
Compliance can meet your unique needs and leverage your existing investments in processes and controls. Compliance can easily extend current controls and incorporate future enhancements to meet your local and global needs.

### Standardized.

Your processes and controls can be standardized across local, global and partner requirements reducing training, support and management costs.

### Enforced.

Automated controls and processes with integrated authorizations and secure access and record keeping help ensure enforcement of your policies and processes.



- More effective FCPA risk and compliance management, enforcement, audit and remediation.
- More effective enforcement with clearly identified authorizations and electronic tracking, alerts and sign-offs.
- Integrated, streamlined approach for easier implementation and expansion locally, globally and with partners.
- Greater cost reductions as a result of reduced redundancy and more efficient utilization.
- Faster identification and remediation of potential issues.
- Reduced cost of audits with easy and fast access to complete tracking and authorization data.

The Foreign Corrupt Practices Act (FCPA) makes it unlawful to bribe foreign government officials to obtain new business or retain existing business. In addition, there is a provision that requires keeping books and records that accurately reflect all transactions and to maintain adequate internal accounting controls.

Having a robust set of policies and processes is only the first step because it does not ensure that they will be followed. Typically, anomalies are discovered after the fact and require expensive and detailed investigations that are only further complicated by lack of, or missing, records.

What is needed are strong internal controls focused on FCPA requirements to better ensure that transactions are approved by authorized personnel and a complete record is maintained for audit. In addition, there needs to be a way to ensure compliance and enforcement of these controls.

Compliance Software can help you take a more proactive approach by automating your FCPA related business policies and processes to integrate people, procedures, and documentation resulting in reduced risk of violation, greater efficiency, lower cost, improved audit ability, more effective management and improved compliance with FCPA regulations.

Most importantly, Compliance can customize your FCPA automation solution to meet your unique company and industry requirements and leverage and extend your existing processes and controls saving you time and money while improving the effectiveness of your FCPA compliance programs.

## Features That Put You In Control

### Web based for easier, authorized access

Remove roadblocks and excuses with easy, anywhere access, and easy fill-in forms and email based routing and electronic authorizations.

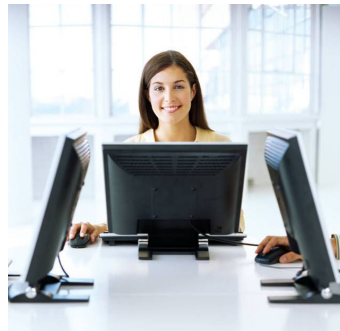
### Granular access security and electronic sign-off

Access control for any level of detail to ensure that the right individuals have access to only authorized processes, documentation, and systems with complete routing for approval at any and all levels for electronic signature.

### Onsite or Cloud Service

Compliance can custom tailor a solution on your site that best fits your budget and process requirements.

Compliance offers a subscription based GRC cloud based service for incremental implementation to best fit your budget and is easily migrated to your premises unlike other SaaS or cloud service implementations.



- Customizable FCPA workflow templates for requesting, approval, monitoring, accounting and auditing of FCPA activities.
- Individualized Task Routing™ via email for task owners to eliminate complex system access and reduce the cost of training and support.
- Easy utilization of current forms to avoid re-inventing the wheel with templates for new processes and forms for faster development and easier changes.
- Dashboard access for quick assessment of the current status with complete drill-down for more detail on any task, process or document.
- Easily integrated with systems and people both locally, globally, and with partners to ensure compliance, enforcement and remediation.
- Easily extended and enhanced for additional FCPA requirements, other regulatory compliance requirements and integrated with business processes for a future proof solution.

## About Compliance

Compliance specializes in custom tailored risk and compliance automation solutions by leveraging a company's investment in existing controls, processes and compliance programs.

Processes and controls unique to the company and industry can be easily automated and standardized to accelerate process completion, more effectively manage risk and lower the cost of management.

Large multi-national, mid-size companies and government agencies choose Compliance because of its greater flexibility and cost effectiveness.

Compliance's Internal Control Management (ICM) platform makes it simpler to automate and integrate both a broad range of GRC requirements such as Sarbanes-Oxley, FCPA and OMB 123 and internal processes such as Financial Close Management.

Compliance can custom tailor the right program to best fit a company's needs and budget whether on-premise or Software-as-a-Service.

### RISK AND COMPLIANCE SERVICES AVAILABLE

FCPA (Foreign Corrupt Practices Act) Automation.

Financial Close Plus! Automation.

Multi-Regulatory Compliance Automation such as Sarbanes-Oxley, OMB A-123 and more.

Risk Management such as COSO ERM Framework automation.

Business process automation for Finance, HR, Inventory, Sales and more.



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