

Financial Close Plus!

Financial Close management is a time consuming, resource intensive process that has critical control implications for business decisions and regulatory reporting. In addition, the need to respond faster to business requirements and report results more accurately and timely to the government are driving the need for new and better ways to manage these financial processes.

Traditionally this has been a manual process maintaining and tracking hundreds, if not thousands, of spreadsheets and other documents in an uncontrolled environment that is error prone and labor intensive. The problem is how not only to increase the speed of closing and reconciliation, but also improve the quality, accountability, auditability, efficiency, and regulatory compliance.

Compliance Driven Process Automation

Automating the Financial Close processes provides a solution to enable standardization, speed up the processes and create efficiencies to reduce costs. However, automation alone is not sufficient.

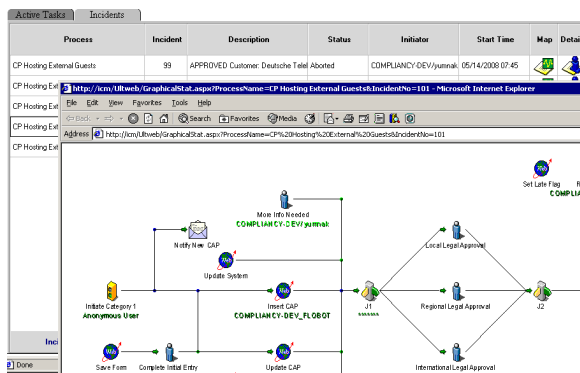
Built-in regulatory level controls to ensure compliance to the automated processes and documents are critical to improving the quality of the results, accountability, and adherence to regulations. In addition, segregation of duties, complete tracking and change control of all processes, certifications, and documents is critical for audit and issues remediation.

Financial Close Plus!

Compliance provides a customizable solution that addresses all these issues for automating, managing and ensuring compliance of your Financial Close processes.

Compliance's Financial Close Plus! solution is unique because it lets you take advantage of all the work you have already done by automating existing or custom manual controls and provides additional built in controls to ensure compliance critical to improving the quality of the results, accountability, and adherence to regulations. In addition, you can the solution to automate other important financial and compliance processes. Don't buy a dead-end point solution - Future Proof your investment!

The net result is faster completion, faster issue remediation, reduced costs, improved compliance, better quality data, improved accountability and improved and more efficient auditability.

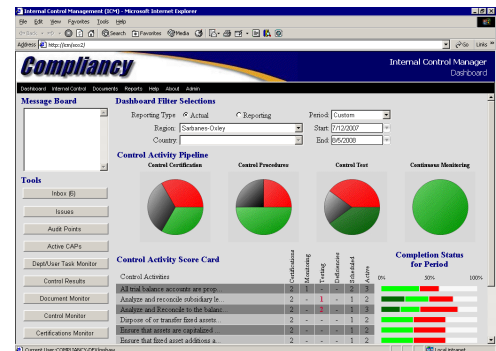


Easier to use, implement and manage

- Customizable Financial Close workflow templates for requesting, approval, monitoring, accounting and auditing of activities.
- Web-based for easy access by authorized users from any location.
- Easy utilization of current forms to avoid re-inventing the wheel.
- Individualized Task Routing via email for task owners to eliminate complex system access and reduce the cost of training and support.
- Dashboard access for quick assessment of the current status with complete drill-down for more detail on any task, process or document.

Improved Financial Close Results

- Automation of notifications, follow-up, and escalation of overdue assignments to enable faster and more effective compliance management.
- Every step, result, change and bit of data is mapped for a complete audit record.
- Complete documentation of all processes and documentation management with version and change control for a single, up-to-date working set to remove inconsistencies and miscommunication.
- Automated audits and reports to test, verify and report on compliance.



Granular access security and electronic sign-off

- Access control for any level of detail to ensure that the right individuals have access to only authorized processes, documentation, and systems.
- Complete routing for approval at any and all levels for electronic signature.
- Organizational chart driven for easier maintenance of access, routing, approval and documentation when personnel changes occur.

A Better Way to Close

- Organizational chart driven for easier maintenance of access, routing, approval and documentation when personnel changes occur.
- Standardize the close process with customizable workflow templates for requesting, approval, monitoring, accounting and auditing of activities.
- All forms, documents and information regarding each close activity is organized and saved in the electronic filing cabinet for easy access by management and auditors.
- Know exactly where you are in the close process with real-time visibility on all activities more detail on any task, process or document.

Plus! Automation of Other Key Finance and Compliance Processes

- Automate fixed asset and capital equipment request processes for efficiencies, reduced risk and visibility.
- Manage credit evaluations, reviews and memos.
- Templates available to automate AP, AR, Purchasing and other financial process templates are available.
- Automate governance, risk and compliance processes (GRC).
- Customize templates to automate unique processes to support your organization.

Automate and Integrate Across the Enterprise

- Easily integrate data from other applications for a holistic view of systems, people, processes and documents.
- Ability to integrate transaction based workflows for early warning based on data or policy driven rules.
- Ability to integrate with current document management systems to avoid redundant systems and cross system change control.
- Ability to integrate forms and processes across divisions and geographies for a complete or filtered view.

Greater Benefits - Greater ROI

Compliance allows you to start fast and expand as needed with a better ROI than single point solutions:

- Better business intelligence across the company about risks and compliance issues.
- More effective risk and compliance management, audit and remediation.
- Integrated, streamlined approach for easier implementation and expansion.
- Greater cost reductions as a result of reduced redundancy and more efficient utilization.

About Compliance

Compliance specializes in custom tailored risk and compliance automation solutions by leveraging a company's investment in existing controls, processes and compliance programs. Processes and controls unique to the company and industry can be easily automated and standardized to accelerate process completion, more effectively manage risk and lower the cost of management.

Large multi-national, mid-size companies and government agencies choose Compliance because of its greater flexibility and cost effectiveness. Compliance's Internal Control Management (ICM) platform makes it simpler to automate and integrate both a broad range of GRC requirements such as Sarbanes-Oxley, FCPA and OMB A-123 and internal processes such as Financial Close Management. Compliance can custom tailor the right program to best fit a company's needs and budget whether on-premise or Software-as-a-Service.

Visit the web site at www.compliance.com or contact Compliance at info@compliancys.com or call +1-919-806-4343.

Copyright © Compliance, Inc. 2011. All rights reserved. Compliance, Internal Control Management, Individualized Task Routing, ITR, are trademarks of Compliance, Inc. All other brands or product names are the property of their respective holders.