

Mid-market to Enterprise Solutions

Governance, risk management and compliance (GRC) solutions or any business process automation project needs to be able to start quickly "out-of-the-box" but flexible enough to meet a variety of different requirements and be extensible to meet future needs.

Unfortunately, most GRC solutions in the market today have been built for large enterprises and are often complex, hard to implement, hard to integrate and expensive. The result is a formidable barrier for large enterprises and an almost impossible solution for mid-market companies.

This has become even more critical with ever increasing demands to reduce budgets but still complete critical projects - especially those involved with regulatory requirements, revenue attainment, or increasing efficiencies and effectiveness.

Compliance Software has solved this problem with a solution that can be configured in a variety of ways for your business and budget needs with the ability to customize and grow.

Flexible Configurations - Match the right solution for your needs and budget

Compliance Software provides a solution for every size company at any phase in their GRC related implementation from mid-market to large enterprises. Whether you want to start quickly with minimal cost or need customized workflows with a large number of processes, Compliance has a solution.

Software-as-a-Service (SaaS)

Compliance offers a subscription based GRC SaaS solution for incremental implementation with a budget that best meets your cash flow needs and unique value that provides for greater flexibility and future migration paths not offered by other GRC solutions. The Compliance SaaS solution can be configured and relatively priced on a subscription basis depending on the configuration and number of users per month with flexible billing options over a variety of time periods.

On-Site Provisioning

The following provides a description of each of the Compliance Internal Control Management (ICM) offerings followed by a comparative matrix so you can easily see which is the right solution for you!

- **ICM Standard Edition**
The Compliance Software Standard Edition includes the complete integrated software for smaller companies or enterprises that want to start with fewer processes and then phase their growth.
- **ICM Enterprise Edition**
The Compliance Software Enterprise Edition includes the complete integrated software for larger enterprises that have high volumes of processes and want to take advantage of the full power of business process integration.

ICM Standard Edition

The ICM Standard Edition includes the complete integrated software for smaller companies or enterprises that want to start with fewer processes and then phase their growth. ICM Standard Edition is a software application that is installed on the customer's hardware and OS and uses the customer's existing database. Compliance provides ICM software support. System requirements for customer provided hardware and software are as follows:

- Intel Pentium III or IV, 2.0 GHz+, 2 GB RAM, 50GB hard drive+, CD ROM, network interface
- Windows 2000 or 2003 Server
- MS SQL Server 2000 Database with Database with Reporting Services
- The application includes:
 - Compliance ICM application – Latest version
 - Ultimus Business Process Management Small Business Server v7.1*
 - Ultimus Business Process Studio
 - 10 concurrent workflow client licenses
 - Full Compliance documentation; 2 weeks on-site installation and configuration services

ICM Enterprise Edition

The ICM Enterprise Edition includes the complete integrated software for larger enterprises that have high volumes of processes and want to take advantage of the full power of business process integration. The software is installed on the customer's hardware and OS and uses the customer's existing database. Compliance provides ICM software support. System requirements for customer provided hardware and software are as follows:

- Intel Pentium III or IV, 2.0 GH+, 2 GB RAM, 50GB hard drive+, CD ROM, network interface
- Windows 2000 or 2003 Server
- MS SQL Server 2000 Database or Oracle 9i or 10g
- 25 concurrent workflow client license
- The application includes:
- Compliance ICM application – Latest version
- Ultimus Business Process Management Standard Server v7.1**
- Ultimus Business Process Designer
- Ultimus Business Process Studio
- 25 concurrent workflow client licenses
- Full Compliance documentation and 4 weeks on-site installation and configuration services

* The ICM Appliance and ICM Standard Edition will support up to 5 control process maps. Note that a single control process map can be used for implementing a large number of control procedures when there is consistency in the process flow. Please contact Compliance Software for more information.

** The ICM Enterprise Edition will support unlimited processes and includes integration capabilities for integrated solutions and advanced features.

Compliance ICM Comparative Matrix

ICM	ICM Appliance	ICM Standard Server	ICM Enterprise Server
Number of concurrent Workflow users included	10	10	25
Number Workflow Maps***	5	5	Unlimited
Professional Services included (implementation, configuration & training)	1 week	2 weeks	4 weeks
Hardware, OS and SQL Server database included	Included	Not Included	Not Included
One year standard support maintenance	Unlimited	Unlimited	Unlimited
SOX Compliance Workflow Templates	Included	Included	Included
Ultimus Business Process Automation Server	Included	Included	Included
Ultimus Business Process Studio (customized workflows)	Not Included	Not Included	Unlimited

About Compliance

Compliance specializes in custom tailored risk and compliance automation solutions by leveraging a company's investment in existing controls, processes and compliance programs. Processes and controls unique to the company and industry can be easily automated and standardized to accelerate process completion, more effectively manage risk and lower the cost of management.

Large multi-national, mid-size companies and government agencies choose Compliance because of its greater flexibility and cost effectiveness. Compliance's Internal Control Management (ICM) platform makes it simpler to automate and integrate both a broad range of GRC requirements such as Sarbanes-Oxley, FCPA and OMB A-123 and internal processes such as Financial Close Management. Compliance can custom tailor the right program to best fit a company's needs and budget whether on-premise or Software-as-a-Service.

Visit the web site at www.compliance.com or contact Compliance at info@compliance.com or call +1-919-806-4343.

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