

SaaS (Software-as-a-Service)

With ever increasing demands to reduce budgets along with market uncertainty, companies are looking even harder to find ways to lower costs and still complete critical projects.

The Government focus on Governance, Risk, and Compliance (GRC) and such regulatory requirements as Sarbanes-Oxley, FCPA, OMB A-123 and a multitude of new and revised regulatory mandates have created more budget, implementation and management issues.

SaaS provides an ideal solution to start quickly with a lower cost of ownership and then scale as required. SaaS eliminates the typical cost of an initial software and hardware installation along with minimizing the cost of technical support enabling more effective cash flow management.

The SaaS benefits are becoming well known and proven across a variety of applications in the market:

- Reduced cost of ownership since the software and hardware is owned and managed by a third-party.
- Ease of access via the Internet from anywhere at anytime.
- Software upgrades are simplified with minimal or no downtime.
- Subscription based pricing is available for easier cash flow management and budgeting.

GRC as a SaaS solution

The SaaS model is ideal for implementation of governance, risk, and compliance (GRC) requirements:

- Requirements can be implemented incrementally based on priorities.
- Implementations can easily be changed in response to changing regulations or company requirements.
- Access and available are easily accomplished via the Internet.
- Budgets can be more easily managed over time.
- Easy to configure process and form templates allow fast and easy startup.
- All the requirements for segregation of duties, tracking of all processes, transactions and documents and complete evidence and issues management and reporting can be implemented.

Compliance Software adds unique value

Compliance provides a unique and customizable Software-as-a-service (SaaS) solution for risk and compliance automation that can leverage existing GRC processes and controls and then extend and augment them for improved effectiveness, efficiencies and cost management.

Compliance Software takes it a step further with unique benefits built into its solution. Unlike other SaaS solutions in this area, Compliance has recognized that not all companies utilize the same processes and forms, that they desire the flexibility down the road to automate and integrate more general business processes for greater efficiency, and there may be a need to migrate the solution in-house. Compliance provides:

- Complete flexibility to start with any compliance, risk or governance requirement and then expand and integrate as needed with the same, single system – no additional software needed!
- Individualized Task Routing (ITR)™ via integrating with your email systems to remove bottlenecks, reduce access to the main system, and reduce training and support.
- Completely customizable access from the Internet for modifying processes and forms (requires some Compliance assisted uploading and customization).
- Organization based for responsibilities and permissions for easier setup and modification.
- Complete evidence and documentation capture with ability to store redundant images at different locations to assure availability in case of problems.
- BPM (Business Process Management) based for automating any business process with built-in compliance level controls to ensure results.
- Ability to migrate the installation to an on-premises location to switch to a customer managed solution.

Secure, available, accessible

Compliance's solution is hosted in a highly secure facility complete with multiple layers of redundancy and network access protection. Availability is 24/7 except for scheduled maintenance or upgrades which are typically during non-working hours. Accessibility is via the Internet and can be set for access via VPN.

Subscription based with flexibility to meet your budgetary needs

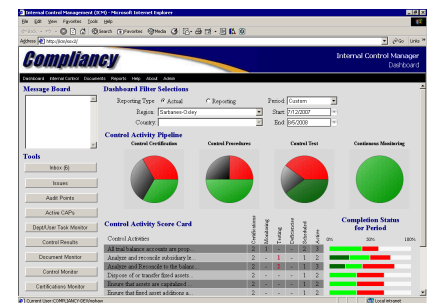
The subscription fee has flexibility to address a variety of situations. The fees will vary depending on the processes being implemented and number of users. The subscription fee consists of an initial configuration expense and a per user per month price with a minimum number of users. Billing can be accommodated on a monthly, quarterly, semi-annual or annual basis to best fit your needs.

A complete, easy to use and implement GRC system in a single solution

Compliance provides a complete solution that can be used for any governance, risk and compliance requirement. Compliance provides complete internal control management capabilities including the documentation, automation and management of all governance, risk and compliance processes, rules based routing, segregation of duties, security authorization, automated testing/auditing, certifications, evidence tracking, issues management and reporting.

Easier to use, implement and manage

- Customizable workflow templates for requesting, approval, monitoring, accounting and auditing of activities.
- Web-based for easy access by authorized users from any location.
- Easy utilization of current forms to avoid re-inventing the wheel.
- Individualized Task Routing via email for task owners to eliminate complex system access and reduce the cost of training and support.
- Dashboard access for quick assessment of the current status with complete drill-down for more detail on any task, process or document.



Improved compliance

- Automation of notifications, follow-up, and escalation of overdue assignments to enable faster and more effective compliance management.
- Every step, result, change and bit of data is collected for a complete record.
- Complete documentation of all processes and documentation management with version and change control for a single, up-to-date working set to remove inconsistencies and miscommunication.
- Automated audits and reports to test, verify and report on compliance.

Granular access security and electronic sign-off

- Access control for any level of detail to ensure that the right individuals have access to only authorized processes, documentation, and systems.
- Complete routing for approval at any and all levels for electronic signature.
- Organizational chart driven for easier maintenance of access, routing, approval and documentation when personnel changes occur.

Greater Benefits - Greater ROI

Our research has clearly shown 20-50% increase in savings versus more traditional methodologies and an increase in 20-30% faster response to issues.

About Compliance

Compliance specializes in custom tailored risk and compliance automation solutions by leveraging a company's investment in existing controls, processes and compliance programs. Processes and controls unique to the company and industry can be easily automated and standardized to accelerate process completion, more effectively manage risk and lower the cost of management.

Large multi-national, mid-size companies and government agencies choose Compliance because of its greater flexibility and cost effectiveness. Compliance's Internal Control Management (ICM) platform makes it simpler to automate and integrate both a broad range of GRC requirements such as Sarbanes-Oxley, FCPA and OMB A-123 and internal processes such as Financial Close Management. Compliance can custom tailor the right program to best fit a company's needs and budget whether on-premise or Software-as-a-Service.

Visit the web site at www.compliancy.com or contact Compliance at info@compliancys.com or call +1-919-806-4343.

Copyright © Compliance, Inc. 2011. All rights reserved. Compliance, Internal Control Management, Individualized Task Routing, ITR, are trademarks of Compliance, Inc. All other brands or product names are the property of their respective holders.