

Compliance Solutions Overview

Compliance's solutions allow you to custom tailor your risk and compliance processes and controls to best fit your unique financial and GRC needs such as Sarbanes-Oxley, OMB A-123, FCPA, or Financial Close management. You can start quickly and control your costs by leveraging your investments in existing processes, internal controls and GRC programs and then extend these to be more efficient and more effective in managing your risks and meeting regulatory requirements.

Compliance's solution is accessible via any Web based network and provides complete control management capabilities including the documentation, automation and management of all GRC or internal business processes including rules based routing, segregation of duties, security authorization, automated testing/auditing, certifications, document management, evidence tracking, issues identification and management and reporting.

The net result is not only reduced costs and greater efficiencies, but more effective decision making because you can be assured that your company is adhering to the processes put in place.

The following outlines the current solutions available from Compliance. Review each of their associated brochures for more detail or visit our web site at www.compliance.com :

- **Regulatory Compliance** – Automate and customize any compliance regulation or internal compliance requirement with customizable templates and BPM based automation such as **Sarbanes-Oxley, OMB A-123, FCPA** and more with a single integrated management system.
- **FCPA (Federal Corrupt Practices Act)** – Automate and customize your FCPA related business policies and processes to integrate people, procedures, and documentation to best fit your unique needs and gain greater efficiency, lower cost, improved auditability, more effective management and improved compliance with FCPA regulations.
- **Financial Close Plus!** – Automate and customize your Financial Close processes to enable standardization, speed up the processes and create efficiencies to reduce costs with built in controls to ensure compliance to the automated processes and documents for improved results, accountability, and adherence to regulations. Plus! use existing investments in process and forms and you are able to easily customize your processes and controls to meet your unique company requirements.
- **Enterprise Risk Management** – Automate your COSO ERM framework solution ensure alignment of your strategy, objectives, and risks across all your divisions and geographies for more effective risk management, compliance management, and operations management with improved performance and better business decision making.
- **Compliance designed BPM Automation** – Automate any existing manual processes and controls for any internal operating business process such as finance, sales or marketing with built-in regulatory level controls to ensure results. Custom tailor and streamlined operations to best fit your unique needs and to enable more effective control and integrate processes within and across business groups.
- **SaaS (Software-as-a-Solution)** – Compliance offers a subscription based GRC SaaS solution for incremental implementation with a budget that best meets your cash flow needs and unique value that provides for greater flexibility and future migration paths not offered by other GRC solutions.
- **Midmarket to Enterprise** – Compliance can tailor a custom configurations to best fit your process and budget requirements for on-premises provisioning or as a SaaS solution.

About Compliance

Compliance specializes in custom tailored risk and compliance automation solutions by leveraging a company's investment in existing controls, processes and compliance programs. Processes and controls unique to the company and industry can be easily automated and standardized to accelerate process completion, more effectively manage risk and lower the cost of management.

Large multi-national, mid-size companies and government agencies choose Compliance because of its greater flexibility and cost effectiveness. Compliance's Internal Control Management (ICM) platform makes it simpler to automate and integrate both a broad range of GRC requirements such as Sarbanes-Oxley, FCPA and OMB A-123 and internal processes such as Financial Close Management. Compliance can custom tailor the right program to best fit a company's needs and budget whether on-premise or Software-as-a-Service.

Visit the web site at www.compliance.com or contact Compliance at info@compliances.com or call +1-919-806-4343.

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